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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application Of:) BOX: MISSING PARTS
Paul STIRPE, *et al.*)
Serial No: 09/818,953) Group Art No. 2131
Filed: March 28, 2001) Examiner: TBA
Docket No. 03433.00003

For: SYSTEM, METHOD AND APPLICATION OF ONTOLOGY DRIVEN
INFERENCE-BASED PERSONALIZED SYSTEMS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application under 37 C.F.R. §1.53(b), May 4, 2001, Applicant submits herewith the statutory basic filing fee of \$710.00, the missing parts surcharge of \$130.00, the additional claims fee of \$702.00 for thirty-nine total claims over twenty, a \$400.00 fee for five independent claims over three, the Assignment Recordation Fee of \$40.00, a copy of the Notice to File Missing Parts of Nonprovisional Application, the executed Declaration/Power of Attorney, the substitute drawings in compliance with 37 CFR 1.84, and Assignment with Cover Sheet. The period for responding to the Notice to File Missing Parts of Nonprovisional Application expired on July 4, 2001, thus the one-month extension of time fee has been added. The fees are calculated as follows:

Basic Filing Fee	\$710.00
Total Claims = 59	
In Excess of 20 = 39 x 18.00	\$702.00
Independent Claims = 8	
In Excess of 3 = 5 x 80.00	\$400.00
Surcharge for submitting the filing fee and Declaration subsequent to the filing date	\$130.00
Assignment Recordation Fee	\$40.00

Paul STIRPE
U.S. Patent Application Serial No. 09/818,953


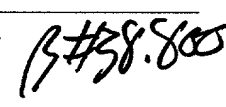
One-month Extension of Time Fee \$110.00

Total Fees \$2,092.00

It is believed that all Patent and Trademark Office requirements have now been fully met and it is respectfully requested that the above-identified patent application be forwarded for examination.

Please charge the filing of this paper and any additional fee, which may be associated to our Deposit Account No. 19-0733.

Respectfully submitted,

By: 
for Joseph M. Potenza
Reg. No. 28,175 

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Dated:  25, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D C 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/818,953	03/28/2001	Paul Stirpe	03433.00003

CONFIRMATION NO. 9620

FORMALITIES LETTER



OC000000006039975

907
BANNER & WITCOFF
1001 G STREET N W
SUITE 1100
WASHINGTON, DC 20001

Date Mailed: 05/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1102.
 - \$702 for 39 total claims over 20.
 - \$400 for 5 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1942.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

Handwritten marks and scribbles at the bottom of the page.

Sept 4

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE